I Mina'trentai Sais Na Liheslaturan Guåhan THE THIRTY-SIXTH GUAM LEGISLATURE Bill HISTORY 4/5/2021 4:36 PM

I Mina'trentai Sais Na Liheslaturan Guåhan BILL STATUS

BILL NO.	SPONSOR	TITLE	DATE INTRODUCED	DATE REFERRED	CMTE REFERRED	PUBLIC HEARING DATE	DATE COMMITTEE REPORT FILED	FISCAL NOTES	NOTES
105-36 (CO		AN ACT TO REPEAL AND REENACT ARTICLE 3 OF CHAPTER 15, TITLE 4, GUAM CODE ANNOTATED, AND TO ADD A NEW § 15401(g) TO ARTICLE 4 OF CHAPTER 15, TITLE 4, GUAM CODE ANNOTATED, RELATIVE TO CREATING AN ELECTED GUAM ETHICS COMMISSION, AND TO REQUIRE THE COMMISSION TO CREATE A SECURE AND ANONYMOUS REPORTING CHANNEL FOR INDIVIDUALS TO SUBMIT ETHICAL VIOLATIONS.	4:27 p.m.						

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I MINA'TRENTAI SAIS NA LIHESLATURAN GUÅHAN 2021 (FIRST) Regular Session

Bill No. 105-36 (COR)

Introduced by:

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James C. Moylan Juny

AN ACT TO REPEAL AND REENACT ARTICLE 3 OF CHAPTER 15, TITLE 4, GUAM CODE ANNOTATED, AND TO ADD A NEW § 15401(g) TO ARTICLE 4 OF CHAPTER 15, TITLE 4, GUAM CODE ANNOTATED, TO CREATING AN ELECTED GUAM RELATIVE ETHICS COMMISSION, AND TO **REQUIRE** THE **COMMISSION** TO **CREATE** A **SECURE** AND **ANONYMOUS** REPORTING **CHANNEL FOR** INDIVIDUALS TO SUBMIT ETHICAL VIOLATIONS.

BE IT ENACTED BY THE PEOPLE OF GUAM:

Section 1. Legislative Findings and Intent. *I Liheslaturan Guåhan* finds that Public Law 27-141 established the Guam Ethics Commission, to be an administrative process in promoting the highest standards of ethical conduct within the government of Guam, pursuant to the principles identified in Articles 1 and 2 of Chapter 15, 4 GCA. The objective was to assure that a balanced Commission was created, free from political bias, or from answering to the bodies who either appointed and/or confirmed them. The current makeup of the Commission constitutes seven members appointed by the Governor and confirmed by the Guam Legislature.

This Act allows the Guam Ethics Commission to serve the purpose which it was intended to by allowing the voters of Guam, and not elected officials, to select those whom they wish to serve in the capacity of this Commission. This would

- 1 remove any political biasedness and would result in a non-partisan body focused
- 2 on the criteria which is mandated in law. Because they would be considered a non-
- 3 partisan entity, there would not be any additional costs with ballot printing, as the
- 4 election of the Commissioners of the Guam Election Commission would be on the
- 5 same ballot as the Commissioners of the Consolidated Commission on Utilities,
- 6 another non-partisan entity.
- 7 The Act further provides for the Commission to establish a secure and
- 8 anonymous reporting channel to allow members of the public to be able to submit
- 9 any known ethical violations in the government of Guam. An effective process
- would be one where the confidentiality of the informant is held to the highest of
- 11 standards.
- 12 **Section 2.** Article 3 of Chapter 15, Title 4, Guam Code Annotated, is
- hereby *repealed*, *and reenacted* to read as follows:
- 14 <u>"ARTICLE 3</u>

15 ELECTED GUAM ETHICS COMMISSION

- 16 § 15301. Creation of Commission.
- 17 § 15302. Commissioners.
- 18 § 15303. Non-partisan Office.
- 19 <u>§ 15304. Terms of Office.</u>
- 20 § 15305. Reporting Requirements.
- 21 § 15306. Vacancies.
- § 15307. Compensation.
- § 15308. Subpoena Powers.
- 24 § 15301. Creation of Commission.
- There is established within the government of Guam, an elected commission
- 26 to be known as the 'Guam Ethics Commission', whose purpose is to exercise the
- 27 <u>authority pursuant to Article 4 of this Chapter. The Guam Ethics Commission,</u>

1 <u>hereinafter referred to as "Commission" shall be elected by the registered voters of</u>

2 Guam.

§ 15302. Commissioners.

The Commission shall be comprised of seven (7) Commissioners elected at large by the voters of Guam in a General Election. To be eligible to be a Commissioner, a person shall be a registered voter of Guam and a minimum of twenty-five (25) years of age and shall have been a resident of Guam for at least five (5) years immediately prior to the date of the Commissioner's election. No person who has been convicted of a felony or convicted of a misdemeanor involving criminal sexual conduct or a crime of moral turpitude shall be eligible to serve on the Commission.

Members of the Commission *shall not be* an employee of the government of Guam or have any member of their immediate family employed by the government of Guam. A person *shall not* simultaneously serve as a Commissioner and an unclassified or contracted employee of the government of Guam. Persons retired from the government of Guam *may* serve as Commissioners.

At their first official meeting, the Commissioners *shall* elect from among the Commissioners a chairperson, who shall preside over meetings of the Commission.

A majority of the Commissioners holding office *shall* constitute a quorum. The affirmative vote of the majority of the Commissioners holding office *shall* constitute the decision of the Commission. The Commission *may* adopt rules and regulations governing the conduct of its affairs.

§ 15303. Non-partisan Office.

The Office of Commissioner is a non-partisan office. Candidates for the Office of Commissioner *shall not* identify themselves as members of any political party in Guam for the purpose of their campaign, nor *shall* they receive any endorsement or material support from any political party in Guam during their

- 1 campaigns. No Primary Election is required for candidates for this office. No
- 2 nominating petitions shall be required of any candidate for this Office. Any
- 3 qualified person applying to the Guam Election Commission for candidacy to this
- 4 office shall be placed on the General Election ballot. In each election, the
- 5 candidates receiving the highest numbers of votes shall be the elected
- 6 Commissioners, without regard to the actual number of votes cast or received, and
- 7 <u>no runoff elections shall be required.</u>

§ 15304. Terms of Office.

- 9 (a) Except as provided in § 15306 and in Subsection (b) of this 10 Section, each Commissioner *shall be* elected to serve a term of four (4)
- 11 years, commencing on the First (1st) Monday of January following their
- election.

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- 13 (b) The first election for members of the Commission *shall* occur
- during the General Election in November 2022. The four (4) candidates
- receiving the highest numbers of votes *shall* each be elected to serve a four
- 16 (4) year term, and the three (3) candidates receiving the next highest
- numbers of votes *shall* each be elected to serve a two (2) year term.

§ 15305. Reporting Requirements.

- 19 Commissioners and candidates for the Office of Commissioner shall be
- 20 subject to the same reporting requirements pertaining to their campaign
- 21 contributions and expenditures as applied to candidates and members of I
- 22 Liheslaturan Guåhan [the Legislature]. Commissioners and candidates for the
- 23 Office of Commissioner shall be required to file a personal disclosure statement
- 24 that *shall* contain the following items:
- 25 (a) the source of all wages and commissions paid to the
- 26 Commissioner, or candidate for the Office of Commissioner;

1	(b) a list of all corporations or partnerships in which the
2	Commissioner, or candidate for the Office of Commissioner, has a material
3	interest of five percent (5%) or greater; and
4	(c) a list of all corporations, partnerships, or sole proprietorships in
5	which the Commissioner, or candidate for the Office of Commissioner, has a
6	material interest of fifty percent (50%) or more. A candidate for the Office
7	of Commissioner shall be required to file the personal disclosure statement
8	required by this Section with the Guam Election Commission upon filing
9	that person's candidacy with the Guam Election Commission. A
10	Commissioner is required to file the personal disclosure statement required
11	by this Section on an annual basis on the same dates personal financial
12	disclosures are required from members of I Liheslaturan Guåhan.
13	§ 15306. Vacancies.
14	(a) A permanent vacancy in the Office of a Commissioner shall be
15	filled as follows:
16	(1) If the vacancy to be filled is for twelve (12) months or more,
17	the vacancy shall be filled by the next highest vote-getter in the last
18	General Election for Commissioner.
19	(2) If the vacancy to be filled is for less than twelve (12)
20	months, the position shall remain vacant until the next General
21	Election. For items (1) and (2) in determining how many months
22	remaining on the vacant position, the effective date of vacancy shall
23	be the date from which to measure the months remaining, and not the
24	date when the vacancy is filled.
25	(b) The vacancy being filled under this provision shall be for the
26	remaining balance of the term of the vacated position.
27	§ 15307. Compensation.

Commissioners *shall be* compensated at the rate of Five Hundred Dollars (\$500.00) per month for their services. Commissioners *shall not* be eligible for government of Guam retirement or insurance benefits, or other benefits associated with government of Guam employment. Commissioners who are government of Guam retirees may serve on the Commission without giving up their retirement benefits.

§ 15308. Subpoena Powers.

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The Chairperson of the Guam Ethics Commission, upon his or her own initiative, upon the request of any member of the Commission, or upon the request of any party before the Commission, may summon in writing any person to attend before a meeting of the Commission as a witness and in a proper case, to bring with him or her any book, record, or paper which may be deemed material as evidence in the case. The fees for such attendance shall be same as the fees of witnesses before the Superior Court, except that if the witness is a government employee no witness fees shall be given. The subpoena shall issue in the name of the Guam Ethics Commission and shall be directed to the person and shall be served in the same manner as subpoenas to appear and testify before the Superior Court. If any person or persons summoned to testify refuses or neglects to obey said subpoena, upon petition, the Superior Court may compel the attendance of such person or persons before the Commission or punish said person or persons for contempt in the same manner provided by law for securing the attendance of witnesses or their punishment for neglect or refusal to attend in the Superior Court."

- **Section 3.** § 15401(g) is hereby *added* to Chapter 15, Title 4, Guam Code Annotated to read as follows:
- 26 <u>"(g) The Commission shall create a secure and anonymous reporting</u> 27 <u>channel, inclusive but not limited to online access, for any individual who</u>

	wishes to report any ethical standards violated pursuant to Article 2 of this
2	Chapter. The Commission shall protect the identity of the reporting
3	individual and shall be authorized to develop the criteria needed to
1	determine credible complaints. The Commission shall respond to any report
5	no more than thirty (30) days from the date of an individuals submitted
5	complaint."
7	Coation 4 Coverability If any provision of this Act on its application to

Section 4. Severability. If any provision of this Act or its application to any person or circumstance is found to be invalid or contrary to law, such invalidity *shall not* affect other provisions or applications of this Act that can be given effect without the invalid provision or application, and to this end the provisions of this Act are severable.

Section 5. Effective Date. The Act *shall* be effective upon enactment.